

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Terence J. Colgan, et al.

Application No./Patent No.: 10/584,207 Filed/Issue Date: June 23, 2006

Entitled: METHODS FOR DETECTING MARKERS ASSOCIATED WITH ENDOMETRIAL DISEASE OR PHASE

Mount Sinai Hospital, a _____
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is 30 %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Terence J. Colgan To: Mount Sinai Hospital
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Joan E Sproul
Signature

Joan E Sproul

Printed or Typed Name

SVP, Finance

Title

11/10/07
Date

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT

WHEREAS Terence J. Colgan, whose full post office address is 46 Plymbridge Road, Toronto, Ontario, M2P 1A3 (herein called "THE UNDERSIGNED"), has invented certain new and useful improvements in an invention entitled "Methods for Detecting Markers Associated with Endometrial Disease or Phase" for which an application for United States Letters Patent was submitted on June 23, 2006 and was given Serial No. 10/584,207.

AND WHEREAS Mount Sinai Hospital, of 600 University Avenue, Toronto, Ontario, Canada, M5G 1X5, has acquired from THE UNDERSIGNED his whole right, title and interest for the United States of America and all other countries in and to the said invention and in and to any Letters Patent that may be obtained therefor, and in and to said application.

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of all of which is hereby acknowledged, THE UNDERSIGNED, by these presents confirm that THE UNDERSIGNED has sold, assigned and transferred and does hereby sell, assign and transfer unto the said Mount Sinai Hospital, its successors and assigns, his entire right, title, and interest for all countries in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said invention including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom.

THE UNDERSIGNED agrees that THE UNDERSIGNED or his executors and legal representatives will without further consideration make, execute, and deliver any and all instruments in writing including any and all further application papers, affidavits, assignments, and other documents, and will communicate to Mount Sinai Hospital, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest in Mount Sinai

Hospital, its successors or assigns, his entire right, title, and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges, and advantages hereby sold, assigned, and conveyed, or intended so to be.

THE UNDERSIGNED hereby authorizes and requests the Commissioner of Patents to issue any and all Letters Patent for the aforesaid invention to Mount Sinai Hospital, the assignee of his entire right, title and interest in and to the same, for its sole use and benefit, and for the use and benefit of its successors and assigns, to the full end of the term for which Letters Patent may be granted as fully and entirely as the same would have been held by THE UNDERSIGNED had this assignment and sale not been made.

THE UNDERSIGNED represents and agrees with Mount Sinai Hospital that no assignment, grant, mortgage, license, or other agreement affecting his rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that the full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

SIGNED this 7th day of Feb. 2007, at Toronto, Ontario.

Witness


Terence J. Colgan

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Terence J. Colgan, et al.

Application No./Patent No.: 10/584,207 Filed/Issue Date: June 23, 2006

Entitled: METHODS FOR DETECTING MARKERS ASSOCIATED WITH ENDOMETRIAL DISEASE OR PHASE

University Health Network a university affiliated teaching hospital
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is 30 %)

in the patent application/patent identified above by virtue of either:

A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Alexander D. Romaschin To: University Health Network
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Alexander D. Romaschin
Signature

Alexander D. Romaschin
Printed or Typed Name

Nov 8/07
Date

416-360-4000 x 2226
Telephone Number

Head, Division of Clinical Biochemistry / St. Michaels Hospital
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Assignment - Worldwide

ASSIGNMENT
(Worldwide)

WHEREAS ROMASCHIN, Alexander D., hereinafter referred to as "Assignor", whose full post office address is 3 Broadfield Drive, Toronto, Ontario M9C 1L4, Canada, has made an invention relating to and entitled:

**METHODS FOR DETECTING MARKERS ASSOCIATED
WITH ENDOMETRIAL DISEASE OR PHASE**

hereinafter referred to as "the Invention", as fully set forth and described in the following applications for the United States of America and pursuant for the Patent Cooperation Treaty (PCT), and identified as:

<u>Country</u>	<u>Application No.</u>	<u>Filing Date</u>
PCT	PCT/CA2004/002172	December 21, 2004
United States of America	60/630,990	November 24, 2004
United States of America	60/532,601	December 23, 2003

hereinafter referred to as "the Application";

AND WHEREAS,

UNIVERSITY HEALTH NETWORK

hereinafter referred to as "Assignee", whose full post office address is

610 University Avenue, Toronto, Ontario M5G 2M9, Canada

desires among other things to acquire and confirm acquisition of Assignor's entire right, title, interest and benefit for Canada, the United States and all other countries and jurisdictions in and to the Invention and Application;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby confirms that he has sold, assigned, transferred and set over to Assignee and, for greater certainty, does hereby sell, assign, transfer and set over to Assignee, and to Assignee's successors, assigns, nominees or other legal representatives, his entire right, title, interest, property and benefit in and for Canada, the United States and all other countries and jurisdictions in and to the Invention, including any and all associated copyright with a waiver of moral rights in favor of Assignee, together with his entire right, title, interest, property and benefit in and to the Application, and any applications corresponding thereto in any country or jurisdiction, including any and all corresponding applications whether in the form of divisions, continuations, re-examinations, re-issues and extensions thereof, any and all Letters Patent and Registrations that may issue, be granted or result therefrom for the Invention, and any and all rights of

priority resulting from the filing of the above-identified applications, the said corresponding applications and any previously filed applications in respect of the Invention under international conventions, treaties or otherwise, the same to be held and enjoyed as fully and exclusively as the same would have been held and enjoyed by Assignor had this sale, assignment, transfer and setting over not been made;

AND ASSIGNOR, on behalf of himself and his heirs, executors and administrators, does hereby covenant and agree to do all such lawful acts and things and to execute and deliver without further consideration such further lawful assignments, instruments, assurances, applications and other documents as may reasonably be required by said Assignee, or by its successors, assigns, nominees, or other legal representatives, to obtain each said Letters Patent and Registrations of Canada and all other countries and jurisdictions for the Invention and vest or secure the same in Assignee, and in Assignee's successors, assigns, nominees or other legal representatives.

AND ASSIGNOR does hereby authorize any official whose duty it is to grant patents or registrations, to grant each said Letters Patent and Registration for the Invention to the Assignee, and to Assignee's successors, assigns, nominees or other legal representatives.

AND ASSIGNOR, on behalf of himself and his heirs, executors and administrators, does hereby authorize said Assignee, its successors, assigns, nominees or other legal representatives, including its Patent Agents, McCarthy Tétrault LLP, to amend the present assignment document to insert or correct the filing date, serial number or other identifying information of the Application as may be needed to record same.

IN WITNESS WHEREOF, Assignor has hereunto signed his name on the day and year set forth below.

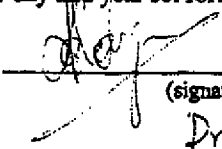
DATED this 08 day of Nov, 2007

Name:


Alexander D. ROMASCHIN

STATEMENT OF WITNESS

I, LEROI DeSouza, state that I was personally present and did see
(name of witness)
Alexander D. ROMASCHIN, who is personally known to me to be the person named in
the above assignment, duly execute the same on the day and year set forth above.


(signature of witness)

Dr Leroi DeSouza
Centre for Research in
Mass Spectrometry
York University

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Terence J. Colgan, et al.

Application No./Patent No.: 10/564,207 Filed/Issue Date: June 23, 2006

Entitled: METHODS FOR DETECTING MARKERS ASSOCIATED WITH ENDOMETRIAL DISEASE OR PHASE

K. W. Michael Siu, a (an) Individual
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is 40 %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Eric C. C. Yang To: K. W. Michael Siu
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: Lerol DeSouza To: K. W. Michael Siu
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: Georg Diehl To: K. W. Michael Siu
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet.

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
Signature

K. W. Michael Siu

Printed or Typed Name

Director of Research Professor
Title

March 2, 2007
Date

(416) 650-8024
Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**SUPPLEMENTAL SHEET TO
STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Terence J. Colgan, et al.

Application No./Patent No.: 10/584,207

Entitled: **METHODS FOR DETECTING MARKERS ASSOCIATED WITH ENDOMETRIAL
DISEASE OR PHASE**

K. W. Michael Siu, an individual
(Name of Assignee) (Type of Assignee)

B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

-CONTINUED-

4. From: Jingzhong Guo To: K. W. Michael Siu
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

David

ASSIGNMENT

TO: Dr. Michael Siu
Cc: Dr. Alex Romaschin
Dr. Terrence Colgan
York University
University Health Network
Mount Sinai Hospital

YORK UNIVERSITY

OCT 11 2005

OFFICE OF
RESEARCH SERVICES

RE: Endometrial Markers, PCT Application No. PCT/CA2004/002172 (the "Patent Application")
Endometrial Proteomic Research (the "Project")

WHEREAS sources of funding and investment are being sought to continue the Project and clarification of ownership of intellectual property would assist such search;

IN CONSIDERATION of the premises and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned hereby agrees that:

1. the undersigned is a former or current member of the Project team;
2. the undersigned has contributed to the invention which is the subject of the Patent Application;
3. the undersigned assigns, transfers and sets over to Dr. Michael Siu, all right, title and interest in and to any and all writings, drawings, machines, prototypes, compositions of matter, gene sequences, proteins, formulas, designs, methods, processes, data, databases, computer programs, concepts and other works, made, authored, conceived, created or developed pursuant to, derived from, or otherwise related to the Project, including any developments, modifications, improvements, updates, additions and changes thereto (collectively the "Work Products"), and all trade-marks, goodwill, trade names, distinguishing guises, copyrights, trade secrets, industrial designs, patents, inventions (whether patentable or not), know-how or other proprietary or intellectual property rights in or related to the Work Products, including without limitation any patent applications filed, including the Patent Application, and patents granted thereon anywhere in the world (collectively, the "IP Rights");
4. the undersigned waives all moral rights, if any, in the Work Products or the IP Rights, and any similar or analogous rights under the applicable laws of any country of the world;
5. the undersigned shall execute and deliver, without further consideration, all further documents or do such things as may be required to give full effect to this Assignment, and to permit Dr. Siu and York University to obtain and maintain applications, or to facilitate the prosecution of applications, for the protection of the IP Rights; and
6. this Assignment is binding upon the undersigned, the undersigned's heirs, administrators, executors and assigns.

DATED this 27th day of September, 2005.

Eric Young
Name:
Title:

Jonathan
Witness

ASSIGNMENT

YORK UNIVERSITY

SEP 28 2005

OFFICE OF
RESEARCH SERVICES

TO: Dr. Michael Siu

Cc: Dr. Alex Romaschin
Dr. Terrence Colgan
York University
University Health Network
Mount Sinai Hospital

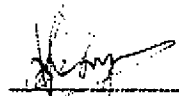
RE: Endometrial Markers, PCT Application No. PCT/CA2004/002172 (the "Patent Application")
Endometrial Proteomic Research (the "Project")

WHEREAS sources of funding and investment are being sought to continue the Project and clarification of ownership of intellectual property would assist such search;

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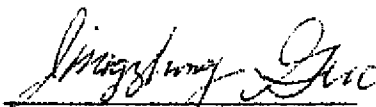
1. the undersigned is a former or current member of the Project team;
2. the undersigned has contributed to the invention which is the subject of the Patent Application;
3. the undersigned assigns, transfers and sets over to Dr. Michael Siu, all right, title and interest in and to any and all writings, drawings, machines, prototypes, compositions of matter, gene sequences, proteins, formulas, designs, methods, processes, data, databases, computer programs, concepts and other works, made, authored, conceived, created or developed pursuant to, derived from, or otherwise related to the Project, including any developments, modifications, improvements, updates, additions and changes thereto (collectively the "Work Products"), and all trade-marks, goodwill, trade names, distinguishing guises, copyrights, trade secrets, industrial designs, patents, inventions (whether patentable or not), know-how or other proprietary or intellectual property rights in or related to the Work Products, including without limitation any patent applications filed, including the Patent Application, and patents granted thereon anywhere in the world (collectively, the "IP Rights");
4. the undersigned waives all moral rights, if any, in the Work Products or the IP Rights, and any similar or analogous rights under the applicable laws of any country of the world;
5. the undersigned shall execute and deliver, without further consideration, all further documents or do such things as may be required to give full effect to this Assignment, and to permit Dr. Siu and York University to obtain and maintain applications, or to facilitate the prosecution of applications, for the protection of the IP Rights; and
6. this Assignment is binding upon the undersigned, the undersigned's heirs, administrators, executors and assigns.

DATED this 27th day of SEPTEMBER, 2005.



Name: J. LEROI DESOUSA

Title: MANAGER - PROTEOMICS FACILITY



Witness

ASSIGNMENT

TO: Dr. Michael Siu

Cc: Dr. Alex Romaschin
Dr. Terrence Colgan
York University
University Health Network
Mount Sinai Hospital

RE: Endometrial Markers, PCT Application No. PCT/CA2004/002172 (the "Patent Application")
Endometrial Proteomic Research (the "Project")


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4. the undersigned waives all moral rights, if any, in the Work Products or the IP Rights, and any similar or analogous rights under the applicable laws of any country of the world;
5. the undersigned shall execute and deliver, without further consideration, all further documents or do such things as may be required to give full effect to this Assignment, and to permit Dr. Siu and York University to obtain and maintain applications, or to facilitate the prosecution of applications, for the protection of the IP Rights; and
6. this Assignment is binding upon the undersigned, the undersigned's heirs, administrators, executors and assigns.

DATED this 26 day of September, 2005.

Name:
Title:


Georg Diehl
Research Investigator
NIBR/P58/Separations
Novartis Pharma AG
WKL - 122.P33
☎ +41 61 696 21 70


Witness

ASSIGNMENT

TO: Dr. Michael Siu

Cc: Dr. Alex Romaschin
Dr. Terrence Colgan
York University
University Health Network
Mount Sinai Hospital

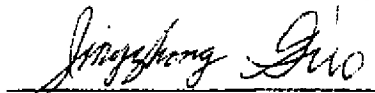
RE: Endometrial Markers, PCT Application No. PCT/CA2004/002172 (the "Patent Application")
Endometrial Proteomic Research (the "Project")

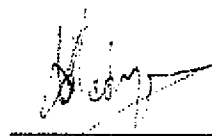
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1. the undersigned is a former or current member of the Project team;
2. the undersigned has contributed to the invention which is the subject of the Patent Application;
3. the undersigned assigns, transfers and sets over to Dr. Michael Siu, all right, title and interest in and to any and all writings, drawings, machines, prototypes, compositions of matter, gene sequences, proteins, formulas, designs, methods, processes, data, databases, computer programs, concepts and other works, made, authored, conceived, created or developed pursuant to, derived from, or otherwise related to the Project, including any developments, modifications, improvements, updates, additions and changes thereto (collectively the "Work Products"), and all trade-marks, goodwill, trade names, distinguishing guises, copyrights, trade secrets, industrial designs, patents, inventions (whether patentable or not), know-how or other proprietary or intellectual property rights in or related to the Work Products, including without limitation any patent applications filed, including the Patent Application, and patents granted thereon anywhere in the world (collectively, the "IP Rights");
4. the undersigned waives all moral rights, if any, in the Work Products or the IP Rights, and any similar or analogous rights under the applicable laws of any country of the world;
5. the undersigned shall execute and deliver, without further consideration, all further documents or do such things as may be required to give full effect to this Assignment, and to permit Dr. Siu and York University to obtain and maintain applications, or to facilitate the prosecution of applications, for the protection of the IP Rights; and
6. this Assignment is binding upon the undersigned, the undersigned's heirs, administrators, executors and assigns.

DATED this 27 day of Sept, 2005.


Name: JINGZHONG GUO
Title: Research Associate



Witness